

02-11-02

SECTOR ✓

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

In re Application of: **HLAVINKA, et al.**Serial No.: **10/005,431**Filed: **11/02/2001**For: **Fluid Separation Systems and/or Methods  
Using A Fluid Pressure-Driven and/or  
Balanced Configuration**Customer Number: **24994**Group Art No: **1724**  
Examiner: **Not yet assigned**

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

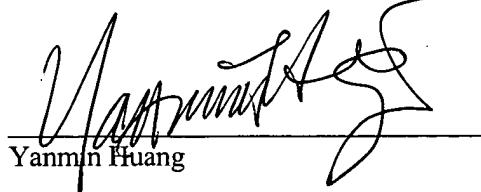
I hereby certify that the following documents:

1. Certificate of Express Mailing (1 page)
2. Transmittal Form (1 page)
3. Fee Transmittal for FY2002 with Deposit Account No. and a duplicate (2 pages)
4. Copy of Notice to File Missing Parts of Nonprovisional Application (1 page)
5. Response to Notice to File Missing Parts of Nonprovisional Application (1 page)
6. Declaration for Utility or Design Patent Application (4 pages)
7. Power of Attorney executed by Dennis J. Hlavinka (1 page)
8. Power of Attorney executed by Thomas J. Felt (1 page)
9. Return Card

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

on this date of 02/05/2002

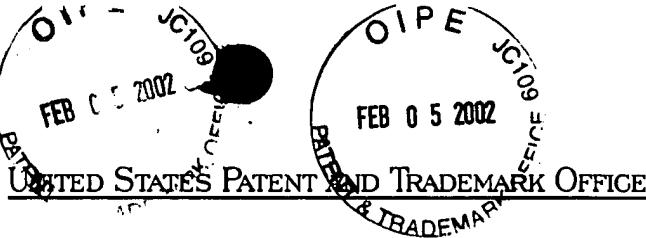


Yanmin Huang

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,431	11/02/2001	Dennis J. Hlavinka	B0023-US01

24994  
GAMBRO, INC  
PATENT DEPARTMENT  
10810 W COLLINS AVE  
LAKEWOOD, CO 80215

CONFIRMATION NO. 8553  
FORMALITIES LETTER



\*OC00000007252071\*

Date Mailed: 01/02/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/13/2002 SZEHDIE1 00000026 032316 10005431

01 FC:105 130.00 CH



Please type a plus sign (+) inside this box →

PTO/SB/21, (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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#3

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

12

Application

10/005431

Filing Date

11/02/2001

First Named

HLAVINKA, et al.

Group Art Unit

1724

Examiner Name

Not yet assigned

Attorney Docket Number

B0023-US01

### ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Response
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert a Provisional Application
- Power of Attorney, Revocation Change of Correspondence
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Certificate of Express Mailing ,  
Copy of Notice to File Missing  
Parts of Nonprovisional Appl.,  
Declarations for Utility or Design  
Patent Appl., Powers of Attorney,  
Return caard.

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Peter B. Scull, Reg. No. 37,932 (Customer No. 24994)

Signature

Date

February 5, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

EV 008327848 US

Typed or printed name

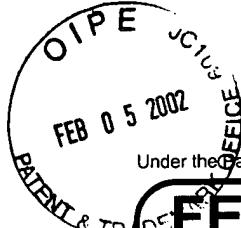
Yanmin Huang

Signature

Date

02/05/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$130.00

## Complete if Known

Application Number	10/005431
Filing Date	11/02/2001
First Named Inventor	HLAVINKA, et al.
Examiner Name	Not yet assigned
Group Art Unit	1724
Attorney Docket No.	B0023-US01

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number	03-2316
Deposit Account Name	Gambro, Inc.

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					

## 2. EXTRA CLAIM FEES FOR UTILITY AND

Extra Claims	Fee from below	Fee Paid
Total Claims	-20** = 0 X 0.00	= 0.00
Independent Claims	-3** = 0 X 0.00	= 0.00
Multiple Dependent		

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$130.00

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Peter B. Scull	Registration No. (Attorney/Agent)	37932	Telephone	303-205-2560
Signature			Date	February 5, 2002	

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Docket No. B0023-US01

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Serial No.: **10/005,431**

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Balanced Configuration**

Customer Number: 24994

} Group Art No: **1724**  
Examiner: **Not yet assigned**

Box Missing Parts  
Commissioner For Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

Sir,

In response to the Notice to File Missing Parts mailed January 2, 2002, I have enclosed two executed Declarations and Powers of Attorney. Please charge the \$130.00 surcharge fee and any other fees applicable to the filing of these documents to deposit account no. 03-2316.

Respectfully submitted,

February 5, 2002  
Date

Peter B. Scull  
Registration No: 37932  
Gambro, Inc.  
10810 W. Collins Ave.  
Lakewood, Colorado 80215  
303-205-2560

Attachment